

CONTACT INFORMATION

One Biscayne Tower, 21st Floor
2 South Biscayne Blvd.
Miami, FL 33131

Phone: (305) 373-9400
Fax: (305) 373-9443
www.broadandcassel.com

Email: dnewman@broadandcassel.com

CAREER PROFILE

POSITION:
Partner

AREAS OF PRACTICE:
Commercial Litigation
Construction Law and Litigation
Corporate and Securities

ADMITTED:
New York, 1992
Florida, 1992

EDUCATION:
University of Miami School of Law
Coral Gables, Florida, 1991
J.D., Doctor of Jurisprudence, cum laude

George Washington University
Washington, District of Columbia, 1988
B.A., Bachelor of Arts in Business
Administration, Finance

AFFILIATIONS:
The Florida Bar
Business Law Section, Member
**National Association of Securities
Dealers**
Arbitrator

Daniel Newman

Mr. Daniel Newman is a Partner in the Miami office of Broad and Cassel. He is a member of the Firm's Commercial Litigation Practice Group.

- Mr. Newman specializes in complex commercial, securities and construction litigation in state and federal courts. He has substantial experience litigating complex financial cases in state and federal courts and in arbitrations before the NASD and NYSE. This representation extends to both the trial and appellate level. He holds an AV rating with Martindale Hubbell, the leading legal rating publication, a rating achieved by only five percent of attorneys.
- Mr. Newman represents clients before the Securities and Exchange Commission in SEC enforcement proceedings and represents securities broker-dealers and brokers in NASD, NYSE, and state regulatory proceedings. He also regularly represents securities broker-dealers and brokers in securities arbitrations before the NASD and NYSE. Mr. Newman has served as counsel to Receivers in both SEC and FTC Proceedings.
- Prior to joining Broad and Cassel, Mr. Newman was a partner at another prominent Miami law firm. He has worked on many notable cases, including representing a Chief Financial Officer in one of the first Sarbanes-Oxley officer certification cases prosecuted by the SEC, and the defense of the City of Miami in an SEC proceeding that alleged securities fraud in connection with three of the City's municipal bond offerings. Mr. Newman, along with another partner, successfully defended one of the country's largest broker-dealers in a defamation action brought by a former broker and he recently represented a major institutional trading firm in an action brought by an electronic communications network concerning the ECN's ability to charge access fees where no contractual relationship existed.
- Mr. Newman served as an attorney in the Division of Enforcement of U.S. Securities & Exchange Commission's Northeast Regional Office. His responsibilities included investigating violations of federal securities laws and the civil prosecution of SEC enforcement proceedings.



Mr. Newman is active in various professional and community organizations. He is a member of the SEC, Civil, and Banking and Corporate Litigation Committees of the Miami-Dade County Bar Association and a member of the Securities Industry Association, Legal and Compliance Division. Mr. Newman is an approved arbitrator for the NASD and is also an adjunct faculty member at the Nova Southeastern Shepard Broad Law Center.